

Information Disclosure Statement  
Application Serial No. 10/565,404

Customer No. 01933

Attorney Docket No. 06038/LH

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**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicant(s): Kenji KAWANO, et al.

Serial No. : 10/565,404

Confirm. No.: 6228

Filed : January 23, 2006

For : WAVEGUIDE TYPE OPTICAL DEVICE

Art Unit : 2874

Examiner : Sarah U. Song

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not already paid, authorization to charge the extension fee to Account No. 06-1378. In addition, authorization is hereby given to charge any fees for which payment has not been submitted, or to credit any overpayments, to Account No. 06-1378.

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R :

Submitted herewith is a copy of the International Preliminary Report on Patentability (IPRP) and Written Opinion dated January 18, 2007, which were issued in the International Application of which the present application is a Continuation. The IPRP and Written Opinion are identified on the enclosed IDS form.

The documents identified and discussed in the IPRP and Written Opinion were submitted to the USPTO with the International Search Report in the prior IDS filed on January 23, 2006.

The English language IPRP and Written Opinion constitute an explanation of relevance of non-English documents identified therein (MPEP 609.04(a)III).

It is respectfully requested that the Examiner consider the present IDS and that an initialed copy of the IDS Form be returned to indicate that the documents listed therein have all been considered and made of record.

Form PTO-2038 authorizing charges in the amount of \$180.00 is enclosed for consideration of this IDS at this stage of the prosecution.

Respectfully submitted,

/Douglas Holtz/

Douglas Holtz  
Reg. No. 33,902

Frishauf, Holtz, Goodman & Chick, P.C.  
220 Fifth Avenue, 16<sup>th</sup> Floor  
New York, NY 10001-7708  
Tel. No. (212) 319-4900  
Fax. No. (212) 319-5101  
DH:db  
encs

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/565,404	
				Filing Date	January 23, 2006	
				First Named Inventor	Kenji KAWANO	
				Group Art Unit	2874	
				Examiner Name	Sarah U. Song	
Sheet		of		Attorney Docket Number	06038/LH	
<b>NON PATENT LITERATURE DOCUMENTS</b>						
Exam Inits <sup>1</sup>	Cite No <sup>2</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T <sup>6</sup>
		Copy of International Preliminary Report on Patentability, Chapter I of the Patent Cooperation Treaty for PCT/JP2005/010508, 8 sheets.				
Examiner Signature				Date Considered		

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Mark here if English translation is attached.

DATE MAILED: June 12, 2007